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PATENT

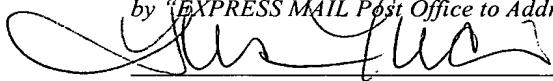
APM7 Rec'd PCT/PTO 23 DEC 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application. No. : U.S. Filing of International Application PCT/EP2004/006467  
Applicants : SCHILLING, Andreas; TOMPKIN, Wayne Robert;  
STAUB, René  
Confirm. No. : Unassigned  
Filed : Herewith  
Title : OPTICAL SECURITY ELEMENT  
TC/A.U. : Unassigned  
Examiner : Unassigned  
Docket No. : 1093-144 PCT/US  
Dated : December 23, 2005

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

*Date: 12/23/05 Label No. EV000904686 US*  
*I hereby certify that on the date indicated above, I*  
*deposited this paper or fee with the U.S. Postal Service*  
*and that it was addressed for delivery to the Commissioner*  
*for Patents, P.O. Box 1450, Alexandria, VA 22313-1450*  
*by "EXPRESS MAIL Post Office to Addressee" Service.*



Tricia Tucci

INFORMATION DISCLOSURE STATEMENT

Sir:

In order to fulfill the requirements of candor and good faith set forth in 37 C.F.R. §1.56, Applicants submit herewith the following Information Disclosure Statement in accordance with the provisions of 37 C.F.R. §1.97 and §1.98.

UNITED STATES PATENTS

<u>PATENTEE</u>	<u>PATENT NO.</u>	<u>ISSUE DATE</u>
Yamazaki	5,379,131*	January 3, 1995
Tompkin, et al.	5,760,961*	June 2, 1998
Huang	5,999,280	December 7, 1999
Dovgodko, et al.	6,351,537	February 26, 2002

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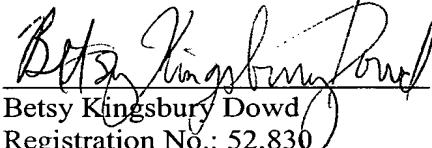
FOREIGN PATENT DOCUMENTS

<u>COUNTRY</u>	<u>PUBLICATION NO.</u>	<u>PUBLICATION DATE</u>
Germany	DE 100 44 465 A*	March 21, 2002
WIPO	WO 03009225 A*	January 30, 2003
Europe	EP 0520363 A*	December 30, 1992
WIPO	WO 03/009225 A2	January 30, 2003

The above-referenced documents are listed on Form PTO-1449. All documents marked with an asterisk were cited in the International Search Report (ISR) submitted herewith. The remaining documents were cited in the prosecution of the corresponding German application. A copy of the foreign document WO 03/009225 not cited in the ISR is enclosed herewith for your convenience.

Applicants are not aware of any other references to be identified at this time. If the Examiner has any questions or comments relating to the present application, he or she is respectfully invited to contact Applicants' attorney at the telephone number set forth below.

Respectfully submitted,

  
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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 1093-144 PCT/US	SERIAL NO. 107562331 Unassigned
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Schilling, et al.	CONFIRMATION NO. Unassigned
(Use several sheets if necessary)		FILING DATE Herewith	GROUP Unassigned

## U.S. PATENT PUBLICATIONS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
		5,379,131*	1/3/95	Yamazaki			
		5,760,961*	6/2/98	Tompkin, et al.			
		5,999,280	12/7/99	Huang			
		6,351,537	2/26/02	Dovgodko, et al.			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
		DE 100 44 465 A*	3/21/02	Germany				
		WO 03009225 A*	1/30/03	WIPO				
		EP 0520363 A*	12/30/92	Europe				
		WO 03/009225 A2	1/30/03	WIPO				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.